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Applicant: Mark L. Yoseloff et al.  
Serial No. New Application  
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Title: VIDEO GAMING SYSTEM WITH WILD CARD SYSTEM AND BONUS SYSTEM

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**Box PATENT APPLICATION**  
Assistant Commissioner for Patents  
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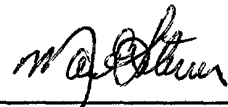
- ☒ Patent application including 23 pages of specification, 21 claims and 1 page of abstract
- ☒ Signed Declaration and Power of Attorney
- ☒ Assignment to Shuffle Master Inc.
- ☒ Recordation Form Cover Sheet with authorization to charge Recordation fee
- ☒ 3 sheets of informal drawings
- ☒ Check in the amount of \$393.00 for payment of Filing Fees
- ☒ Transmittal Sheet
- ☒ Return postcard

The charges for this application are calculated as follows:

CLAIMS FILED					
	Total Claims	In Excess of	Extra Claims Present	Rate	Fees
<b>Basic Filing Fee</b>					<b>\$345.00</b>
<b>Total Claims</b>	21	20	1	X \$9.00	\$ 9.00
<b>Indep. Claims</b>	4	3	1	X \$39.00	\$ 39.00
<b>Multiply Dependent Claims</b>					\$ 0.00
<b>TOTAL FEES</b>					<b>\$ 393.00</b>

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By: 

MARK A. LITMAN

**SMALL BUSINESS****VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37 C.F.R. 1.9(f) AND 1.27(c)) - SMALL BUSINESS CONCERN**

I hereby declare that I am

- a) ☐ the owner of the small business concern identified below:  
 b) ☒ (X) an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN: Shuffle Master, Inc.  
 ADDRESS OF CONCERN: 10901 Valley View Road  
Minneapolis, Minnesota 55344

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 C.F.R. 121.3-18, and reproduced in 37 C.F.R. 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled VIDEO GAMING SYSTEM WITH WILD CARD SYSTEM AND BONUS SYSTEM, by inventors Mark L. Yoseloff, Russell B. Dunn and Josef Alexander Hartl described in the specification filed herewith.

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 C.F.R. 1.9(c) or by any concern which would not qualify as a small business concern under 37 C.F.R. 1.9(d) or a nonprofit organization under 37 C.F.R. 1.9(e).  
 \*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 C.F.R. 1.27)

NAME Shuffle Master, Inc.  
 ADDRESS 10901 Valley View Road, Minneapolis, Minnesota 55344

a) ☐ INDIVIDUAL    b) ☒ (X) SMALL BUSINESS CONCERN    c) ☐ ( )NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 C.F.R. 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereof, or any patent to which this verified statement is directed.

NAME Mark L. Yoseloff  
 TITLE Executive Vice President  
 ADDRESS 1106 Palms Airport Drive, Las Vegas, Nevada 89119

SIGNATURE

DATE

8/30/00

**VIDEO GAMING SYSTEM WITH WILD CARD SYSTEM**  
**AND BONUS SYSTEM**

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**BACKGROUND OF THE INVENTION****1. Field of the Invention**

The present invention relates to video gaming apparatus, methods of play in video gaming apparatus, and novel features used in the playing of video games, especially video games with bonus features.

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**2. Background of the Art**

Wagering games (e.g., roulette, craps, slots, video poker, table card games, and gaming machines or computers using gaming software), including those intended primarily for play in casinos, should provide players with a sense of participation and control, the opportunity to make decisions, and reasonable odds of winning, even though the odds favor the casino, house, dealer or banker. The game must also meet the requirements of regulatory agencies.

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Wagering games, including wagering games for casino play, with multiple wagering opportunities are known. U.S. Pat. Nos. 4,861,041 and 5,087,405 (both to Jones et al.) disclose methods and apparatus for progressive jackpot gaming, respectively. The former patent discloses that a player may make an additional wager at the beginning of a hand, the outcome of the additional wager being determined by of a predetermined arrangement of cards in the player's hand. U.S. Pat. No. 4,836,553 (to Suttle and Jones) discloses a modified version of a five card stud poker game.

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Additional symbols may be added to the usual means of playing a game to increase wagering opportunities. This is disclosed in U.S. Pat. No. 5,098,107 (to Boylan et al.). Somewhat similarly, U.S. Pat. No. 3,667,757 (to Holmberg) discloses a board game and apparatus, including a way to allow the player to make a choice with respect to several different alternative types of game play and risk bearing strategies. The

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alternative play is based on providing cards with additional symbols and therefore, a new set of odds. The game and apparatus disclosed by Holmberg requires new sets of rules, relatively complicated procedures and time for a player to learn the game.

U.S. Pat. No. 5,154,429 (to LeVasseur) involves the dealer playing multiple hands against a player's single hand, whereby the number of hands played in the same amount of time is increased.

The desired positive attributes of wagering games outlined above are in large measure provided by the method and apparatus for a wagering game in accordance with the present invention. The game is uncomplicated, exciting and provides the opportunity for players to make multiple wagers, choices regarding those wagers and the possibility of a separate wager and entry fee for a bonus pay-off and a super jackpot pay-off.

The quest for gaming instrumentalities which will provide greater game interest and entertainment among players who wager is an ongoing odyssey. Gaming instrumentalities, particularly "progressive" type slots machines, have provided a two-tiered system in an attempt to continuously stimulate players to play a primary game in hopes of winning an extraordinary and large progressive jackpot. However, these types of gaming machines do not vary significantly from their predecessors and can fail to maintain player stimulus as a result of the lack of interaction the player has with the game in attempting to win the progressive jackpot. As a consequence, players can become disinterested in the gaming experience and gain a perception of being a passive observer of the progressive jackpot. Furthermore, after a progressive jackpot has built up to an extraordinary level and has been awarded to a player, there is a sharp drop off in the stimulus provided to players by these progressive types of machines until the pool of money builds back up.

Wagering games, and especially video wagering games that comprise both a first and second tier of games are also known on stand alone machines or in conjunction with a bank or group of machines. For example, the patent to Marnell, II (U.S. Pat. No. 5,393,057) teaches the use of a poker game or reel game for the primary game and a bingo type game as a secondary game. Certain outcomes from the primary game are

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longer when the hit rate for the bonus game is higher. This bonus feature allows a player to win each bonus game and collect winnings until the player receives a losing combination (i.e., losing until winning). This is a variation of WMS Gaming's earlier bonus feature trademarked JACKPOT STAMPEDE™ which allowed the player in the bonus game to continually spin the bonus reels until receiving a winning combination. The recognized shortcoming with this earlier bonus feature was that the player's expectation of receiving meaningful bonus awards is crushed since the first winning combination to be hit is statistically a small award (i.e., a cherry). Other high odds and "win till you lose" bonus games are found in UK Patent Application GB 2 180 087 A published Mar. 18, 1987 and GB 2 084 371 A published Apr. 7, 1982.

U.S. Patent No. 6,004,207 describes a spinning reel slot machine that gives a multiplied payoff when certain conditions are fulfilled. Wins that include a special symbol on the pay line are multiplied by an incremental multiplier when the machine is in a particular mode. A counter value is increased by one every time a second special symbol appears on the visible sections of the reels. Whenever the counter reaches a predetermined value, the counter is reset and the multiplier is increased. Whenever a multiplied payout occurs, the multiplier is reset to a minimum value. An "XFACTOR" may act as a wild card for reel slot symbols and may also include other functions in the play of the game.

U.S. Patent No. 5,980,344 describes an embodiment of the invention in which the player initiates a round of a reel slot type machine game (often referred to as a "spin" or "play") by pulling the slot machine handle or pushing a button and waiting for the reels to stop spinning. If a row of common symbols appears in the primary game, and the symbols may include wild card symbols such as Jokers, the player has a win. In variants of the primary game where there are multiple rows of symbols displayed, if a column or diagonal line of common symbols appear in the primary game, the player has a win or gains some other game advantage.

U.S. Patent No. 5,944,315 discloses a card game that may be played in video format where a player makes a wager. After three cards are dealt face down to the player and two cards are dealt face up to a dealer, the player chooses either to continue the risk of the wager, surrender and forfeit half of the wager, double the wager or triple the wager when the two face up cards are a pair. When the player does not choose to surrender, the player is dealt two additional cards. The player designates one of his cards as a Joker whereby the player has a Poker hand comprised of four cards and a Joker. The dealer is dealt three additional cards. The dealer designates one of his cards as a Joker whereby the dealer has a Poker hand comprised of four cards and a Joker. A payout is made to the player when the player's hand has a rank that is at least as high as the rank of the dealer's hand. The player may participate in a jackpot by contributing money to a jackpot pool prior to cards being dealt. A payout from the pool is based upon the rank of the player's hand.

U.K. Patent Application GB 2 222 712 A published Mar. 14, 1990 sets forth a slot machine main game interconnected with a slot machine secondary game. The player has the option of pushing button 18 which debits his credit meter by the appropriate amount to play the secondary game such as another slot game. Hence, the player must gamble an amount in order to play the bonus game.

U.S. Patent No. 6,059,658 describes a device and method for playing a primary and a secondary bonus game. The device includes a primary game device and a secondary game device having a display having five concentrically arranged wheels each having an indicia of an Ace, King, Queen, Jack, Ten and a wild symbol. In response to receiving a pre-selected bonus outcome during play of the primary game device, the secondary game device is actuated to rotate the wheels and randomly present an indicia from each wheel at a pay line as a secondary outcome. The outcome of the secondary game device can be used as an additional award or to multiply the award for the bonus outcome in the primary game.

U.S. Pat. No. 5,393,057 pertains to an electronic gaming apparatus and method therefore wherein each play in the bonus is the result of successive underlying game play.

The invention teaches the use of an electronic primary gaming device such as a poker or a slot machine and an electronic secondary gaming device based on bingo. When a winning combination such as three queens appears in the primary game, a space in the bingo matrix is turned over to reveal a bingo symbol. Play continues on the primary game until  
5 a winning sequence occurs in the bingo game. The right to play the bingo secondary game does not occur unless the player inserts three or more coins into the primary game. Play continues until the game achieves a bingo in which case the player receives a prize.

UK Patent Application GB 2181 589 A published April 27, 1987 pertains to a slot machine having a jackpot feature whereby the prize value is transferred between separate  
10 jackpot displays as successive games are played. Some of the reel symbols are overprinted with a number and when that number lands on the pay line, it is used to climb a ladder. The ladder enables the player to obtain one or all of the prizes in the upper portion of the slot machine. For example, if the overlaid number lands the player on a first playing level, then the player receives all three prizes. If the overlaid number lands  
15 the player on a second level, then the player can select which one of the three prizes to receive. If the player lands on a third level, then it becomes a game of skill to select which of the three prizes he selects. Finally, if the player lands on a fourth level, then the prize is randomly selected. The prize may also be randomly doubled. U.S. Pat. No. 5,560,603 sets forth a plurality of slot machines interconnected to an electronic controller  
20 which displays a separate race game. Each time a particular predetermined combination of indicia appears in the display of a particular slot machine, a signal is generated from the slot machine that advances the racing element through a particular predetermined distance. If the player's horse reaches the finish line before a timer display times out, then the slot player wins an additional prize. The players in this patent are not racing against  
25 each other, but against a clock.

U.S. Patent No. 5,823,534 discloses a bingo format of game with a wild feature. A bingo game is played by a plurality of players employing a table having respective player stations thereabout. The game permits each player to select each of his or her numbers to be matched during play by randomly drawn numbers, including a wild



designation which each player may deem to match one of his or her selected numbers, and the game is permitted to progress at multiple levels of play notwithstanding the occurrence of prior bingos in the game being played.

Many video gaming systems provide wild symbols, almost always wild cards, in the play of their games. Examples of wild symbol games include, but are not limited to, U.S. Patent Nos. 6,007,066; 5,882,259; 5,823,873; 5,868,618; 5,868,819; 5,816,915 and 5,489,101.

U.S. Patent No. 5,332,228 describes an improved stud poker game that requires no decisions from the player after the initial bet is placed. Each hand has one guaranteed wild card that appears at a card position which is predetermined, and shown to the player, before the player's cards are dealt. In addition to the one guaranteed wild card, all like-valued cards in the hand are also wild. Also, there are a number of novel and entertaining ways to determine the wild card position. The game is adaptable to both video play and table play formats.

U.S. Patent No. 5,308,605 describes a casino table card game where a player, after making a wager, is dealt, face-up, a hand of five cards that is placed in a player-hand area. The player also receives a solitary card, which is dealt face down in a single-card area. The player then has a chance to improve the ranking of the five-card hand by discarding up to five cards and replacing them with an equal number of new cards. After the player rearranges the five-card hand, the solitary card is turned face-up and all the cards in the player's hand that have the same face value as the solitary card are designated wild. The ranking winnings are calculated according to a statistical pay table.

Australian Patent Application No. 18618/97, filed 28 February 1997, and published 16 September, 1997 and Australian Petty Patent No. 686556, filed 29 October, 1997 and granted 5 February 1998, both titled "Slot Machine With Roaming Wild Card" and assigned to Aristocrat Leisure Industries Party LTD describes a slot machine and method play in which there may be a triggering predetermined event in a reel-slot type (including video formats) wagering game. The triggered event is the display of a wild card symbol in a first display location. The wild card symbol is then progressively

1 moved, to one space at a time to a predetermined number of other display positions. The  
display event of the wild card may include a symbol (a penguin is described in the patent  
application) that ‘walks’ from space to space on the reel, with any awards determined for  
the single wild symbol being positioned at each location on the screen. Among the most  
5 detailed sequence of events employed in one embodiment comprise the steps of showing  
a triggering symbol to initiate the progressively moving wild symbol feature. The  
number of lines and amount of wager are carried over. Sounds accompany the  
progressively moving wild symbol feature. The moving wild symbol changes back-and-  
forth between images (e.g., an iceberg and a penguin). The win meter increments for  
10 each partial pay feature.

### **SUMMARY OF THE INVENTION**

A novel bonus feature is provided for a video gaming apparatus of the reel-slot-  
type configuration is provided. The bonus events are triggered by the appearance of one  
15 or more preselected symbols on the display. Upon the happening of a triggering event, at  
least one randomly selected position on the game display is designated as a wild symbol  
position. The symbols appearing in the designated wild symbol positions are converted  
from standard game symbols to wild symbols. Wild symbols are then displayed in the  
wild symbol positions. The game results are then evaluated to determine if winning  
20 combinations of symbols have been provided by the original symbols and/or the addition  
of wild symbols by operation of the gaming apparatus and gaming method.

In one example of the invention, the screen display in the reel-slot-type video  
game is a video representation of five horizontally aligned reels. Each “reel” shows at  
least three symbol positions (at least three because blank areas between symbols may be  
25 used as a display position), aligned vertically, with five reels aligned horizontally, for a  
total of fifteen symbol positions (not including blank spaces) on the screen display.

According to the invention, between one and the maximum number of symbol  
positions (in this case, fifteen) are displayed. All fifteen symbol positions may be  
potentially wild symbol positions.

Upon the happening of a triggering event, a random number generator is employed to determine how many wild symbol positions are assigned in a given bonus round or regular play round. The number of wild positions and the location of the selected number of wild positions are randomly determined according to the invention.

5 In order to enhance the excitement of the game, and to create a greater feeling of anticipation, each wild symbol position is preferably revealed to the player one at a time, rather than simultaneously. In another example of the invention, all wild symbol positions are simultaneously revealed. In other examples of the invention, groups of wild symbol positions are simultaneously revealed.

10 Once the wild symbol positions are identified, the appearance of the position changes into a wild symbol, or goes blank then changes to a wild symbol according to examples of the invention. The wild symbol positions can be revealed to the player a number of ways. In one example, the game symbol first disappears and the wild symbol position is blank prior to the appearance of the wild symbol. In other examples, the game symbol transforms into the wild symbol. In yet another example, a visual marker, such as  
15 a dog bone or other symbol appears somewhere on the selected wild symbol position as an overlay or in addition to the game symbol. As a separate step, the marker and game symbol disappear and are replaced with a wild symbol. The disappearance may be a morphing from one symbol (the visual marker or visual marker and game symbol) into  
20 the wild symbol. Although morphing is preferred, a sharp, non-continuous change from the one symbol to the wild symbol may also occur.

According to the invention, the game is then scored in the usual manner, by comparing the game symbols to a look up table. The player is typically paid a payout for preselected outcomes that can be line pays, scatter pays, or combinations thereof.

## **BRIEF DESCRIPTION OF THE FIGURES**

25 Figure 1 shows a general gaming apparatus and housing for a gaming device according to the present invention.

Figure 2 shows the images displayed on a five reel, three row slot-type device, with a visual marker of a wild card shown in two frames.

Figure 3 shows a series of reel images that can occur in the play of the game.

Figure 3 a) shows a blank set of reel positions with potential wild symbol combination positions.

Figure 3 b) shows a set of images on reels that produce a wild card result based on the blank set of Figure 3 a).

Figure 3 c) shows a set of images on reels where the wild card result of Figure 3 b) has altered the outcome of the game by altering symbols in a manner that improves an actual award.

### **DETAILED DESCRIPTION OF THE INVENTION**

Video games have provided wild card symbols to increase the frequency of winning combinations or to add additional excitement or entertainment to the play of the games. The traditional manner of providing wild cards or wild symbols is to have the wild symbols randomly displayed on the screen in the play of the game. When the wild symbol appears, it is wild only in that position where the wild card appears, or may cause other symbols (usually cards) of a similar rank or value to become wild. In poker games where wild cards are available, wild cards (e.g., deuces or jokers) are randomly displayed on the screen. The wild cards are not position-sensitive in that they may become any card that maximizes the value of the hand, and the wild card may be used in any position in the hand (so that runs or straights are possible), rather than the cards being wild only in the position in which the card appears.

In the play of prior art reel-type games, the wild cards, in those few instances where they are used in reel-type games, are position-sensitive and are wild only in the specific position where they appear. For example, if the wild symbol were not position-sensitive, where a cherry in the first position would pay two wagered units, the appearance of a wild symbol in any position would always provide a winner. This is a desirable outcome for the player, but the effect on the house hold would be significantly

affected, and the programming would tend to reduce the frequency of appearance of the wild symbol to maintain the house hold at a favorable level. This would reduce the frequency of the wild symbol, and this would reduce the entertainment value of the play of this feature.

5           A method of playing a video wagering game according to the present invention comprises a player placing a wager on a reel-type video wagering game; displaying a set of randomly selected game symbols on a display area within areas that indicate frames or positions on a reel-type video wagering game; upon the occurrence of a predetermined event, randomly selecting and/or identifying one or more frames or positions as a wild  
10       position; converting the wild positions to wild symbols; and determining game outcomes based on actual symbols originally displayed and any wild cards. The selecting and/or identifying the one or more frames or positions (position-selected wild symbol position) may include providing a visual marker or special symbol (hereinafter generally referred to as a “visual marker”) that is itself not a wild symbol, but which indicates that at least  
15       that frame or position will later be converted into a wild symbol. The appearance of the visual marker may also be used to indicate that other visual markers in specific positions or any position will also become wild symbols.

          It is an aspect of this invention that the playing of a segment of a game with the position-selected placement of the wild card may be a bonus event after the occurrence of  
20       a predetermined event. A predetermined event means only that the designers of the play of the bonus feature of the game have set a condition precedent to entry into an aspect of play that incorporates the wild feature of the present invention. The predetermined event may, by way of non-limiting examples, include at least any one or combination of a winning event, a non-winning event, a combination of winning events (e.g., three-wins-  
25       in-a-row), a combination of losing events (e.g., three losing-events-in-a-row), the appearance of a special trigger symbol (either a single symbol or multiple symbols), the appearance of one or more special trigger symbols in particular or general positions (e.g., one trigger symbol in space 1-1, one trigger symbol in column 1, two trigger symbols in column 1 and column 5, two trigger symbols in positions 1-1 and 1-5, etc.). After the

occurrence of the predetermined event, the software or hardware of the apparatus randomly selects (e.g., by a random number generator) at least one position (the random number generator may also select the number of frames where wild symbols are selected, with a 3 x 5 reel-slot image there are up to fifteen positions) where the wild symbol or a wild symbol will be positioned. After selection of the number and positions of symbol displays, wild symbols and/or the placement of wild symbols on the screen or into the operation of that game, the wild symbols may be used in resolving the play of the game. Wild symbols may alternately be used as a bonus feature with the original symbols from the first segment of the game. Or, the wild symbols may be used in a bonus feature where the position and location of the position-selected wild symbols or wild cards are retained from the process of random selection after the predetermined event, and then the open frame or frames where no wild symbols have been selected are randomly filled with non-wild symbols or combinations of non-wild symbols and wild symbols.

The effect of the wild symbol events in the practice of this invention may also be implemented in a number of different ways. For example, a sequence of events where the predetermined event comprises a winning event will be first considered. After the first predetermined event has occurred, an amount of payout is determined. That payout may be resolved at that point (e.g., tokens paid out or credits added to the credit total) or the payout may be carried over and incremented in bonus play or used as a factor (multiplicand) in the bonus feature. For example, if the predetermined event comprises a combination of symbols that provides a payout of 10 credits, the 10 credits may be retained and bonus amounts won in the wild card segment are added to the retained 10 credits, or factors may be awarded in the wild card segment and used as multipliers against the retained 10 credits. The additive bonus or multiplier bonus effects may be determined by special pay tables for wild card-included bonuses. For example, since wild cards will greatly increase the frequency of payouts and the relative rank of payouts, the awards for payouts for specific combinations (e.g., five sevens) would have to be diminished in comparison to non-wild card payouts, as is typical in wild games. The bonus payouts may also be limited to horizontal pay lines or specific pay lines. In this

manner, reasonable levels of bonuses will be awarded and a reasonable return or hold for the house will be maintained. A special bonus or jackpot could be awarded where certain events occur (payout combinations on all pay lines, all spaces filled with wild cards, pay combinations of at least a specific rank on each pay line, etc.). Therefore, when the predetermined event includes a winning combination, the original winning event may be immediately resolved, carried over, incremented by a specific bonus amount determined in wild symbol play, or incremented by a multiplier determined in the symbol play aspect of the game.

Figure 1 illustrates a reel-slot-type video gaming device of the present invention. The gaming device 10 has a cabinet 12, player controls 14, a screen display 16, a coin acceptor 18, bill validator 20, coin hopper 22 and a computer system 23. The computer system typically includes a microprocessor and memory. The microprocessor accesses operating system software and game application software stored in memory. The computer system 23 is also equipped with a random number generator, and the random number generator is used to randomly select game symbols that appear on the screen display 16. As shown in Figure 2, the screen display in one embodiment is a five reel slot simulation with a total of fifteen symbol positions, respectively.

According to the invention, the player first places a wager by either betting a credit as shown on the credit display 40 (Figure 1), or by placing a coin in the coin acceptor 18. The game computer 23 either automatically or in response to manipulation of player controls 14 initiates a random symbol selection process. The selected symbols are then displayed on the display screen 16. According to conventional game play, the game symbols are compared to a look-up table (a pay table), and the player is awarded credits if the displayed symbols comprise a winning pay line or scatter pay combination.

According to one embodiment, the bonus event is triggered by the appearance of a designated symbol, such as a "BIG DOG" shown in Figure 2 on the first and fifth reels 42, 44. Since the appearance of a BIG DOG symbol can be on any of the symbol positions 42a, 42b, 42c and 44a, 44b, 44c, the triggering event in this example is a "scatter" arrangement. According to one method of play, the trigger symbol must appear

on the first and fifth reels. In this sense the triggering event is at least a positional scatter arrangement, where only the visual markers in specific positions are converted to wild symbols and that only those visual markers in those specific positions do become wild symbols. In other examples of the invention, the triggering event can be the appearance of one or more trigger symbols anywhere on the display, or in a particular position on the display. The trigger symbol may be the visual marker or an independent trigger marker. That is, the visual symbols may have the potential of being wild, but are converted to wild symbols only where the trigger marker appears on the same screen.

The triggering event can be a winning event for the player or in the alternative, simply be an event that advances the player to the bonus round of play. In the example here described, the triggering event produces a payout. Upon the occurrence of the BIG DOG symbol on specific locations or in a specific order, in this case the appearance of the BIG DOG symbols on the first and fifth reel, a payout is awarded, and the bonus feature is then activated. The payout combination on the screen may alternatively be independent of the activation of the bonus feature. The bonus feature in this example progresses as follows. After the occurrence of the triggering event (e.g., the appearance of the BIG DOG symbol on the first and fifth reels), the random number generator selects a random number (e.g., with a trigger event of two BIG DOGS, there are thirteen remaining frames available where wild symbols may be placed, so the random number may be between 0 and 13, usually 1 to 13) and random positions for that number selected, and inserts wild symbols in those randomly selected positions. The game would then be resolved by converting or reading the wild symbols as symbols that would maximize payouts or bonuses. Where a wild symbol in a particular position could influence payouts in two different pay lines, the system may treat that position in a number of different ways.

The apparatus could determine what single symbol would maximize the payout or could read the position as both symbols, providing two different winning pay lines. Alternately, the wild symbol could transform into a game symbol representing the optimal payout. These are software or programming issues in the control of the game



designer. The formatting could even have the apparatus decline to provide a win where there are two potential wins from a wild symbol in a specific position, although this would probably be a form of play that is disagreeable to players.

Another format of play could include a method of playing a video wagering game, comprising: a player placing a wager on a reel-slot-type video game; displaying a plurality of randomly selected game symbols on a display, each symbol appearing in a designated symbol position; upon the occurrence of a predetermined triggering event, randomly selecting at least one symbol position as a wild symbol position; converting each symbol displayed within each selected wild symbol position to a wild symbol; retaining wild symbols in their respective positions and randomly distributing at least some non-wild symbols into frames in the display to perform a second gaming event; and determining game outcomes based on the displayed game symbols and wild symbols in the second gaming event.

The displayed reels will normally provide at least one row of three columns. More typically, the display will provide at least two rows of three columns of symbols, at least three rows of three columns of symbols, at least three rows of four columns of symbols, at least three rows of at least five columns of symbols, at least four rows of four columns of symbols or at least five rows of at least five columns of symbols. It is preferred in the practice of the invention to have at least three rows with five columns of symbols.

Referring now to Figure 3, the bonus event involves the following range of procedures, which is implemented by software, circuitry, hardware, and other intelligent systems embedded in the apparatus or connected to the apparatus (as in a centrally controlled system of video games with a central computer). A three row-five column reel-type system will be used in the discussion, although as previously noted, systems with different distributions and formats can be used in the practice of the invention. In describing the reel-type three-row by five-column video display, the frames will be described in terms of the 3X5 matrix with positions identified by the number of the row and then the number of the column. For example, the third frame (third column) in the

first row will be identified as position 1-3, and the fifth frame (fifth column) in the third row would be identified as position 3-5.

A player engages the apparatus by applying a wager to the system by coin, currency, token, credit, charge or the like. A fundamentally conventional reel-slot game is engaged in which the symbols within each frame change, usually with the changes being displayed to simulate the rotation of reels in the columns of symbols. The symbols may be virtually stopped within each frame or position (1-1 through 3-5) either by appearing to stop each frame or position or by stopping columns with the three consecutive frames in that virtual columnar reel stopping at the same time. The system evaluates the pay lines (including not only traditional row pay lines, but also diagonal pay lines, column pay lines, scatter pays, and non-linear pay lines as is known in the art) to determine if there has been a win or non-win event. Any number of different symbols may be available for each position, usually with at least three, at least four, at least five, at least six, at least seven or at least eight different symbols being available for the respective positions. The number and frequency of specific symbols may vary from reel-to-reel, column-to-column, row-to-row, frame-to-frame, position-to-position, play-to-play, etc. Additionally, newer frequency controls, new probability controls, new outcome controls, new software programs, new algorithms and new formats are available that can assist in varying underlying aspects of the control of the game. Some of these newer controls are exemplified in U.S. Patent No. 4,448,419 and copending U.S. Patent Application Serial Nos. bearing attorney's docket numbers PA0114.ap.US - METHOD AND APPARATUS FOR CONFIGURING A SLOT-TYPE WAGERING GAME, serial no. 08/989,369, filed 12/12/97 and METHOD AND APPARATUS FOR CONFIGURING A VIDEO OUTPUT GAMING DEVICE, Serial No. 08/999,189, filed 12/12/97.

Figure 3 a) shows a blank set of reel positions with plurality of selected wild symbol positions. The positions are identified by the number of the row (1 through 3) and the number of the column (1 through 5). In addition, four positions (1-1, 2-2, 3-4 and 5-5) are identified as having a visual marker or Wild Card (WC) that becomes a wild

symbol in those positions. The underlying software or hardware of the gaming apparatus will be programmed to define certain squares as activating positions, or positions where the presence of a WC will enable the WC to actually become a wild symbol. In the display shown in Figure 3, for example, the programming of the software can provide a number of different effects. The various different scenarios will be analyzed.

In one example, the bonus triggering event is the appearance of a single wild symbol in frame or position 1-1. Upon the occurrence of the triggering event, the number and position of the other WC's is randomly determined, and the WC's or visual markers are displayed on the screen. In the image portrayed for Figure 3, the organization of WC's would mean that positions or frames 1-1, 2-2, 2-4 and 3-5 would become wild symbols because of the designation of the WC in frame 1-1.

It is also possible that the wild card would be effective only in a position selected by the player at the beginning of a game. The player may be allowed to choose the triggering frame at the beginning of the game, before any symbols have been displayed, adding an additional element of player involvement to the game, similar to the game of Keno. If the determining frame were 3-1, for example, then no WC's would be randomly selected for that round of play with the symbol distribution shown in Figure 3. If the software required that the conversion of WC's to wild symbols would require that at least two WC's be present in specific positions, a different set of events could occur. For example, if it were required that a WC appear in both 1-1 and 1-5, no WC's would be selected for that round of play with the symbol distribution shown in Figure 3. It could also be a method of play that where a WC appeared in one of the required spaces, then only that WC would become wild, while if the at least two WC's appeared in the two designated positions, then additional WC's would be selected and converted into wild symbols. In this case, if it were required that a PWC appear in both 1-1 and 1-5 to trigger the selection of one or more additional WC's, then in this event portrayed in Figure 3, only the PWC in 1-1 would become a wild symbol.

As another alternative, if the required determining positions were positions 1-1 and 3-5, then additional WC's are randomly selected, and randomly assigned to a

corresponding number of additional positions. The advantage of the latter form would be the ability to have more numerous WC's displayed on the screen at a given time (allowing a higher frequency of WC's) so that the expectations of the player may be maintained at a higher level because of the frequency of appearance of WC's.

5           In Figure 3 b), the symbol "7" has been used to indicate a WC. In the reel display shown in Figure 3 b), the software would then analyze display to determine how the payout could be maximized considering all pay lines. In some screen displays, the visual markers would not change into wild symbols need not change, as changing them would not affect the pay out, even when the symbol becomes a wild symbol. Looking at the  
10       symbols in Figure 3 b) with only linear pay lines considered to simplify this analysis (within the same column or within the same row), using 2-2 as a wild symbol cannot affect the payout. No matter what that symbol is changed to (either considering it alone or considering it with other WC's), there can be no payout with a pay line through that symbol. On the other hand, with the WC in 1-1 virtually or analytically changed to a  
15       bell, a first row pay line goes from a payout of zero to whatever the payout is for five bells. The software in this example would disregard the payout of a cherry in position 1-1, which would be likely to be less than the payout for five consecutive bells. In other words, according to one example of the invention, the wild symbols are used to score each pay line, but only award the highest possible award for each pay line.

20           Although in one example the visual markers converted to wild symbols retain the appearance of a wild symbol, in another example, the symbols can further "morph" into the actual game symbol that produces the highest payout to clarify how the game is being scored. For example, Figure 3 c) shows WC's that have changed into the preferred game symbol yielding the highest payout. It has been assumed that three bells in a column  
25       (e.g., column 4) would produce a payout, and the symbol in 2-4 has been changed accordingly. Positions 2-2 and 3-5 have remained unchanged in the display, as there are no changes in those positions that would affect the payout.

Often when the game is configured to pay for multiple pay lines, the WC's will be different, depending on the pay line being scored. For this reason it would be desirable

for the WC to retain the appearance of a wild symbol, rather than morphing into the actual symbol represented during scoring. For example, in Figure 3b), the WC in position 2-2 might be scored as an Orange on the center pay line (assuming two oranges establish a payout combination of symbols), and be scored as a grape on a diagonal pay line extending from position 3-1 up through position 1-3 and then across to position 1-5 (assuming two grapes pay a payout).

When the triggering event is the appearance of two BIG DOGS anywhere on the first and fifth reels as shown in Figure 2, the bonus event is activated. The microprocessor may select a minimum of one additional WC, for a total of at least three wild symbols appearing on the game display and used to score the bonus round. More typically, where the play of this symbol system is used in a bonus play or bonus round, between 5 and 13 wild symbols appear in a given bonus round. It is to be understood that the mathematical probabilities of the game determine the frequency of bonus symbols appearing in each bonus round of play.

In this example, the bonus triggering event is the appearance of a BIG DOG on reels one and five, each BIG DOG functions as a wild symbol in the base game as well as in the bonus round. If for example the random number generator selects five additional positions as wild positions, for that given round of bonus play, the game is scored using the original two BIG DOG wild symbols that carried forward into the bonus round, plus five additional BIG DOGS that appeared in the selected wild positions. Although in this example the triggering symbol is the same wild symbol used in bonus play, the triggering event need not be the appearance of a symbol, as described above. The triggering event could include a predetermined number of consecutive winning or losing outcomes, the appearance of a different symbol or symbols that are not wild symbols, etc. The award of random numbers of random positions of wild symbols may also be carried into play of a regular spin, with or without play of a bonus round as described herein.

After the triggering event, the number and position of the visual markers or WC's are randomly selected. Although a number of methods of displaying the random selections is possible, one method comprises causing the selected positions to go blank,

and then be replaced with wild symbols identical to the trigger symbol, such as a BIG DOG. In order to prolong the enjoyment of the bonus round and increase anticipation and excitement, the gaming machine is preferably programmed so that each wild symbol appears one at a time on the display. Alternatively, the wild symbols can appear in groups, or simultaneously.

In another example of the invention, a combination of two or more special wild symbols are provided, where each wild symbol is wild as to only a subset of game symbols. For example, the wild symbols may operate on all symbols except bells. The wild symbols can also be configured so that they do not operate on symbols in special situations. For example, the game designer might choose to have the wild symbols operate on all game symbols except those that may produce a scatter pay.

In yet another example of the invention, a visual marker, such as a dog bone or other symbol appears somewhere on the selected wild symbol position as an overlay or in addition to the game symbol. As a separate step, the marker and game disappear and are replaced with a wild symbol. The particular manner in which the wild symbol position is identified to the player is unimportant.

#### An Exemplary Operating System

The following discussion is intended to provide a brief, general description of suitable computing environments within which the invention may be implemented.

While the invention will be described in the general context of an application program that runs on an operating system in conjunction with an operating platform such as a personal computer, those skilled in the art will recognize that the invention may also be implemented in combination with other program modules. Generally, program modules include code, applets, routines, programs, components, objects, commands, data structure, etc. that perform particular tasks or implement particular abstract data types. Moreover, those skilled in the art will appreciate that the invention may be practiced with other computer system configurations, including hand held devices, multiprocessor systems, microprocessor-based or programmable consumer electronics, LAN (large area networks), WAN (wide area networks), microcomputers, mainframe computers, and the

like. Multiple gaming devices may be operated out of a single mainframe or central series of computers. The invention may thus be practiced in distributed computing environments where tasks are performed by remote processing devices that are linked through a communication network. In a distributed computing environment, program modules may be located in both local and remote storage devices.

An exemplary, non-limiting system for implementing the present invention includes a conventional personal computer (also referred to as a client computer), including a processor or microprocessor, a system memory, and a system bus that couples the system memory to the processor. The system memory may include read only memory (ROM) and random access memory (RAM). A basic input/output system (BIOS) is usually stored in the ROM. The BIOS essentially contains the basic routines that help to transfer information between elements within the personal computer during certain computer operations, such as during start-up. The personal computer further may include a hard disk drive, a magnetic disk drive (e.g., that reads from and writes to a removable disk), and an optical disk drive (e.g., that reads from a CD-ROM disk or reads from or writes onto other optical media). The hard disk drive, magnetic disk drive, and optical disk drive may be connected to the system by any mechanism such as a hard disk drive interface, a magnetic disk drive interface, and/or optical disk drive interface, respectively. The drives and their associated computer-readable media provide non-volatile storage for the personal computer. Although the description of computer readable media above includes the hard disk drive, a removable magnetic disk and a removable optical disk, such as a CD, it should be readily appreciated and understood by those of ordinary skill in the art that other types of media which are readable by computer, such as magnetic cassettes, flash memory, flash memory cards, ZIPdisks, digital video disks and tapes, Bernoulli cartridges, and the like, may also be used in the exemplary operating environment.

A number of program modules may be store in the drives and RAM, such as an operating system and a network browsing program module. In general, the network browsing program module is a tool used to interact with other computers over data

networks, such as the internet and the World Wide Web. The network browsing program module is also a tool capable of using downloadable program modules, such as the puzzle control module, to direct operation of the personal computer. The drives and RAM may also store other program modules, program data (such as image data, player input data, random number generators, comparator data, look-up tables, and other game play data).

The operating system, in conjunction with the BIOS and associated device drivers, may provide the basic interface between the computer's hardware and software resources, the user, and program modules such as the network browsing software module. A user may enter commands and information into the personal computer through a touch pad, activating buttons, button panels, touch screen surfaces, light wand surfaces, joy sticks, and/or a keyboard, and an input or pointing device such as a mouse. Other input devices may include a microphone (with voice recognition software), game pad, tracking ball (except for direction of the apparent skill function which must be grippable or hand manipulatable, not merely operable by contact with a flat surface of a hand), light gun, scanner, satellite dish, joy stick, light wand, or the like. These and other input devices are often connected to the processor through a serial port interface, such as a game port or a universal serial bus (USB). A monitor or other type of display device (e.g., a public view screen, integrated monitors, serial monitors, grid monitors, and the like, with any imaging format, such as CRT, plasma screen, projection viewing, liquid crystal display, light emitting diode (LED) display, etc.) is also connected to the system bus by way of an interface, such as a video adapter. In addition to the monitor, personal computers used in the gaming industry would typically include other peripheral output devices (not shown), such as peripheral light displays, audio systems, speakers, alarms, and the like.

As discussed earlier, the gaming system of the present invention is embodied in the housing, information storage, gaming modules and the like of the gaming apparatus as software, hardware or a combination of software and hardware. The information for particular games is typically stored in a game control module that essentially provides all of the information and controls and commands and responses in the play of the particular game of the invention. In one exemplary operating environment, the game control



module is used in conjunction with a network browsing program module, which is supported by an available operating systems such as Microsoft Corporation's Windows 95," "Windows 98," "Windows NT," Microsoft Office" operating systems, LINUX, UNIX, MacIntosh Operating systems, or the like. However, it should be understood that the invention may be implemented for use with other network browsing program modules and with other operating systems and hardware, such as Microsoft Corporation's "Windows 3.1," "Windows 7.0" operating systems, IBM Corporation's "OS/2" and "AIX" operating systems, SunSoft Corporation's "SOLARIS" operating system, Hewlett-Packard Corporation's "HP-UX" and "RT-UX" operating systems, and the operating systems used in "MACINTOSH" computers manufactured by Apple Computer, Inc.

The above examples have been illustrative of a generic scope of practice for the invention and are not to be taken as limitations on the practice of the invention. Equivalents and alternatives obvious to those skilled in the art in the various relevant arts are intended to be used within the scope and practice of the present invention.

**WHAT IS CLAIMED IS:**

1. A method of playing a video wagering game, comprising:  
a player placing a wager on a reel-slot-type video game having a plurality  
5 of symbol positions; displaying a plurality of randomly selected game symbols on  
a display, each symbol appearing in a designated symbol position;  
upon the occurrence of a predetermined triggering event, randomly  
selecting between zero and a maximum number of viewable symbol positions as a  
wild symbol position;  
10 converting each symbol displayed within each selected wild symbol  
position to a wild symbol; and  
determining game outcomes based on the displayed game symbols and  
wild symbols.
- 15 2. The method of claim 1, wherein the conversion of each symbol within  
each wild symbol position takes place one at a time.
3. The method of claim 1, wherein between one and all available symbol  
positions are selected as wild symbol positions.
- 20 4. The method of claim 1, wherein each wild symbol is identical.
5. The method of claim 1, wherein each wild symbol morphs into a game  
symbol prior, after to or during the step of determining game outcomes.
- 25 6. The method of claim 1, wherein each wild symbol is wild as to all game  
symbols.

7. The method of claim 1 further comprising the step of awarding a prize for winning outcomes.

8. The method of claim 7, wherein the winning outcomes are awarded according to a look up table.

9. The method of claim 1, wherein the triggering event is the appearance of two wild symbols, and wherein at least one wild symbol position is converted to a wild symbol with the same appearance as the wild symbols that triggered the bonus event.

10. The method of claim 1, wherein the triggering symbol or combination of symbols comprises a symbol on both a first and fifth reel in a scatter arrangement.

11. The method of claim 1, wherein symbol positions bearing game symbols that produce scatter pays are excluded from the random selection of wild symbol positions.

12. A method of playing a video wagering game, comprising:  
a player placing a wager on a reel-slot-type video game;  
displaying a plurality of randomly selected game symbols on a display, each symbol appearing in a designated symbol position;  
upon the occurrence of a predetermined triggering event, randomly selecting at least one symbol position as a wild symbol position;  
converting each symbol displayed within each selected wild symbol position to a wild symbol;  
retaining wild symbols in their respective positions and randomly distributing at least some non-wild symbols into frames in the display to perform a second gaming event; and

determining game outcomes based on the displayed game symbols and wild symbols in the second gaming event.

5 13. An apparatus for playing a video wagering game comprising a housing, a memory system, and a monitor, the memory system directing a process comprising, not necessarily in sequence:

- a) accepting a wager on a reel-slot-type video game;
- b) displaying a plurality of randomly selected game symbols on a display, each symbol appearing in a designated symbol position;
- 10 c) upon the occurrence of a predetermined triggering event, randomly selecting at least one symbol position as a wild symbol position;
- d) converting each symbol displayed within each selected wild symbol position to a wild symbol; and
- 15 e) determining game outcomes based on the displayed game symbols and wild symbols.

14. The apparatus of claim 13 wherein after step b) and before step d), a game using the randomly selected game symbols on a display is resolved.

20 15. A method of playing a video wagering game with a bonus feature, comprising:

- a player placing a wager on a reel-slot-type video game having a plurality of symbol positions;
- displaying a plurality of randomly selected game symbols on a display,
- 25 each symbol appearing in a designated symbol position;
- addressing any winning events by a procedure selected from the group consisting of a) resolving any winning events, b) carrying any winning events forward for later resolving, and c) carrying any winning events forward for modification of the winning event by a bonus play;

upon the occurrence of a predetermined triggering event for a bonus event,  
randomly selecting at least one of said plurality of symbol positions as a wild  
symbol position;

converting each symbol displayed within each selected wild symbol  
position to a wild symbol; and

determining a bonus outcome based on the displayed game symbols and  
wild symbols.

16. The method of claim 15 wherein any winning event from displaying a  
plurality of randomly selected game symbols on a display are resolved before  
determining a bonus outcome based on the displayed game symbols and wild symbols.

17. The method of claim 15 wherein any winning event from displaying a  
plurality of randomly selected game symbols on a display are resolved after determining  
a bonus outcome based on the displayed game symbols and wild symbols.

18. The method of claim 15 wherein any winning event from displaying a  
plurality of randomly selected game symbols on a display are resolved at about the same  
time as determining a bonus outcome based on the displayed game symbols and wild  
symbols.

19. The method of claim 1, wherein the maximum number of viewable  
positions is 15.

20. The method of claim 1, wherein an appearance of the wild symbol  
changes to another game symbol at or before game outcomes are determined.

21. The method of claim 1, wherein the wild symbols are wild as to fewer  
than all of the game symbols.

[illegible]



Figure 1

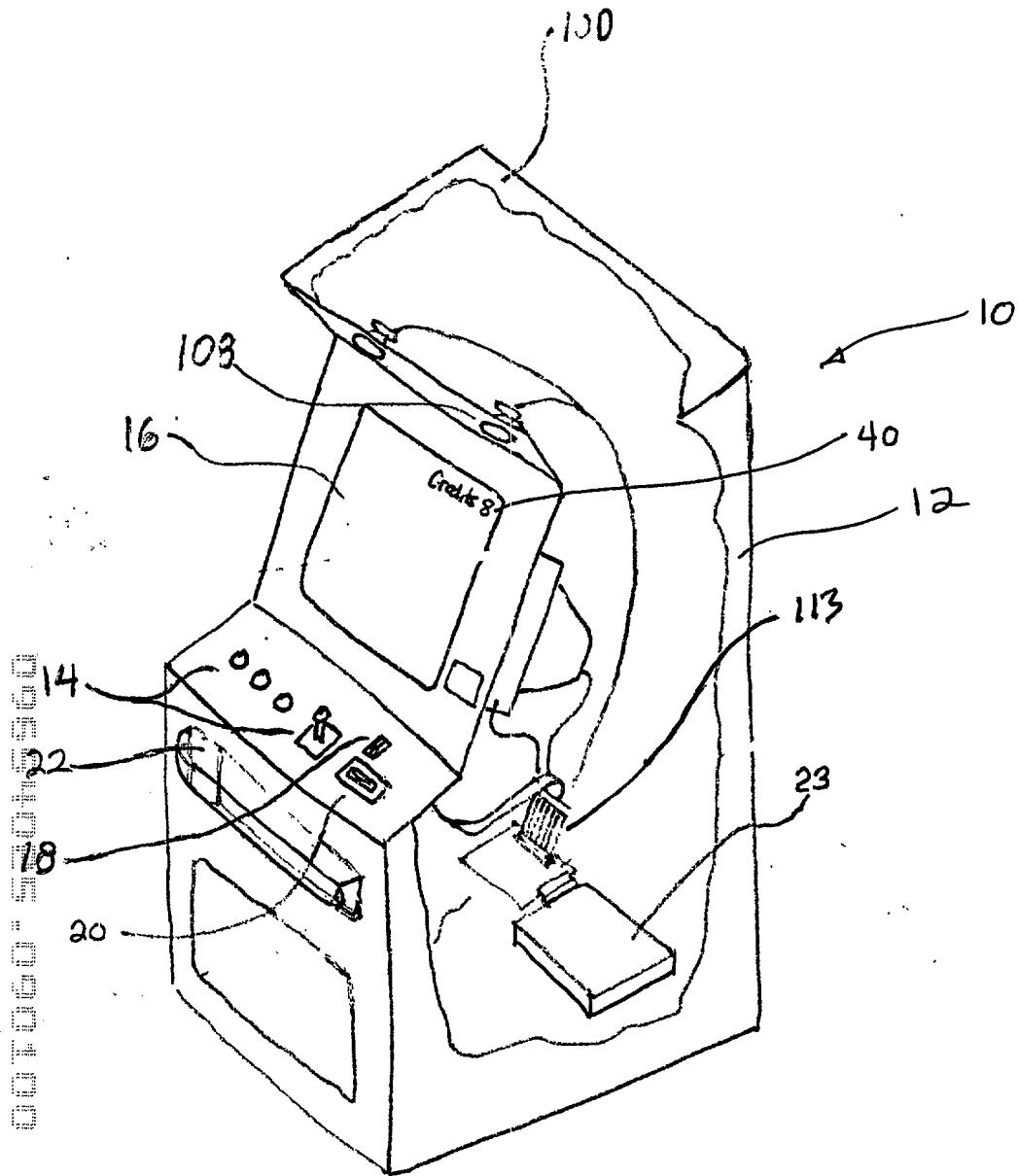






Fig. 3

FIGURE 3a)

1-1 PWC	1-2	1-3	1-4	1-5
2-1	2-2 PWC	2-3	2-4 PWC	2-5
3-1	3-2	3-3	3-4	3-5 PWC

5

FIGURE 3b)

7	Bell	Bell	Bell	Bell
Orange	7	Bell	7	Grapes
Grapes	Cherry	Orange	Bell	7

10

FIGURE 3c)

Bell	Bell	Bell	Bell	Bell
Orange	7	Bell	Bell	Grapes
Grapes	Cherry	Orange	Bell	7

15

**MARK A. LITMAN & ASSOCIATES, P.A.**

**United States Patent Application**  
**COMBINED DECLARATION AND POWER OF ATTORNEY**

As a below named inventor WE hereby declare that: our residence, post office address and citizenship are as stated below next to our names; that

We verily believe We are the original, first and joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled: VIDEO GAMING SYSTEM WITH WILD CARD SYSTEM AND BONUS SYSTEM. The specification of which is attached hereto.

We hereby state that We have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

We acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, <sup>3</sup> 1.56 (see page 3 attached hereto).

We hereby claim foreign priority benefits under Title 35, United States Code, <sup>3</sup>119/365 of any foreign application(s) for patent of inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on the basis of which priority is claimed:

**No such applications have been filed.**

We hereby claim the benefit under 35 U.S.C. <sup>3</sup> 119(e) of any United States provisional application(s) listed below.

**No such applications have been filed**

We hereby claim the benefit under Title 35, United States Code, <sup>3</sup> 120/365 of any United States and PCT international application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, <sup>3</sup> 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, <sup>3</sup> 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

**No such applications have been filed.**

We hereby appoint the following attorney(s) and/or patent agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith:

Litman, Mark A.  
Farrar, Jennifer K.\*

Reg. No. 26,390  
Reg. No. 34,775

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\* Jennifer K. Farrar is not a member of Mark A. Litman & Associates, P.A.

We hereby authorize them to act and rely on instructions from and communicate directly with the person/assignee/attorney/firm/organization/who/which first sends/sent this case to them and by whom/which We hereby declare that We have consented after full disclosure to be represented unless/until We instruct Mark A. Litman or Jennifer K. Farrar to the contrary.

Please direct all correspondence in this case to Mark A. Litman & Associates, P.A. at the address indicated below:

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§ 1.56 Duty to disclose information material to patentability.

(a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is cancelled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:

- (1) prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.

(b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and

- (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or

(2) It refutes, or is inconsistent with, a position the applicant takes in:

- (i) Opposing an argument of unpatentability relied on by the Office, or
- (ii) Asserting an argument of patentability.

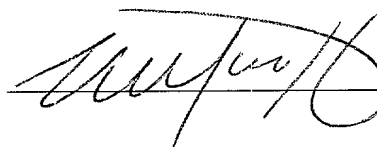
A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

(c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:

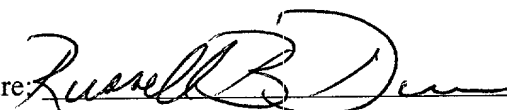
- (1) Each inventor named in the application;
  - (2) Each attorney or agent who prepares or prosecutes the application; and
  - (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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Signature:  Date: 8/30/00

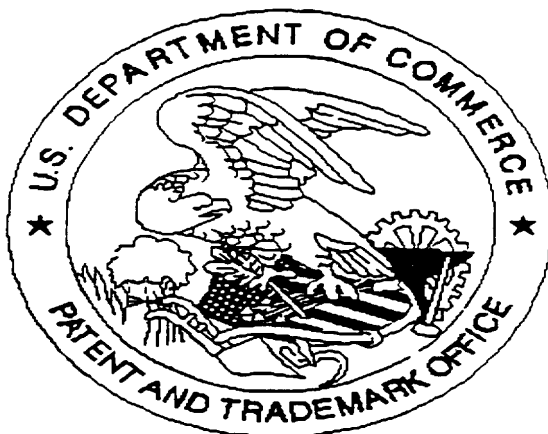
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Signature: Josef Alexander Hall Date: 8/30/00

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